



PATENT  
Attorney Docket No.: SSI-08000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones et al.

Serial No.: 10/718,964

Filed: November 21, 2003

For: **PUMP DESIGN FOR  
CIRCULATING SUPERCRITICAL  
CARBON DIOXIDE**

) Group Art Unit:

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road

) Sunnyvale, CA 94086

) (408) 530-9700

) Customer No.: 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

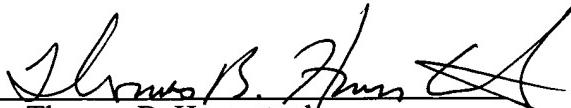
Enclosed please find an Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-25-04

By:



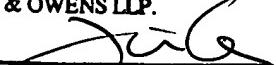
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2/25/04 By: 



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit:  
William Dale Jones et al. )  
Serial No.: 10/718,964 ) Examiner:  
Filed: November 21, 2003 ) **INFORMATION DISCLOSURE**  
For: **PUMP DESIGN FOR** ) **STATEMENT**  
**CIRCULATING SUPERCRITICAL** ) 162 N. Wolfe Road  
**CARBON DIOXIDE** ) Sunnyvale, CA 94086  
                          ) (408) 530-9700  
                          )

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Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,779,445.

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This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-5-04

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

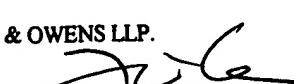
Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

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FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-08000	Serial No.: 10/718,964		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (See Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicants: William Dale Jones et al.			
				Filing Date: November 21, 2003	Group Art Unit:		

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
	AA	5,779,445	07/14/98	Yokota et al.	415	230	09/12/94
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AT							
	AU							
	AV							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AW	
AX	
AY	
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BE	

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.